

A-68294

RMS JAU



UNITED STATES DEPARTMENT OF COMMERCE **Patent and Trademark Office**

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Missing Parts

APPLICATION NUMBER 13/404,110	FILING/RECEIPT DATE 09/23/99 LUC	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE Y A 60294/DJB/
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0212/1014

FLEHR HOHBACH TEST ALBRITTON & TEST LLP
 FOUR EMBARCADERO CENTER STE 3400
 SAN FRANCISCO CA 94111-4187

NOT ASSIGNED

1632



DATE MAILED:

10/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION **Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1946.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 486.00 for 27 total claims over 20.

\$ 312.00 for 4 independent claims over 3.

\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

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 12/23/1999 MABWJ
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

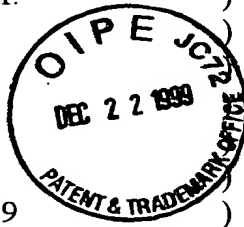
In re Patent Application of:

Ying Luo, et al.

Serial No.: 09/404,010

Filed: September 23, 1999

For: NOVEL TRAF4
ASSOCIATED CELL CYCLE
PROTEINS, COMPOSITIONS
AND METHODS OF USE



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 14, 1999.

Signed: _____

Judy Wilson

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
BOX MISSING PART
Washington, D. C. 20231

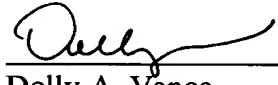
Sir:

In response to the Notification of Missing Requirements dated October 14, 1999, enclosed herewith is the executed Declaration and a copy of the Notification. Also enclosed is an executed Power of Attorney by Assignee and executed Verified Statement Claiming Small Entity Status.

Enclosed is our check in the amount of \$974.00 for the filing fees and surcharge for late filing of the Declaration. Please debit any underpayment or credit any overpayment to our Deposit Account No. 06-1300 (Order No. A-68294/RMS/DAV).

Respectfully submitted,

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111
(415) 781-1989


Dolly A. Vance
Registration No. 39,054
Attorney for Applicant

Date: December 14, 1999